

**Complete if Known**

(Use as many sheets as necessary)

Sheet	1	of	3
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Application Number	10/574,414
Filing Date	03/22/2007
First Named Inventor	Simon William BARKER
Art Unit	
Examiner Name	
Attorney Docket Number	76773 CCD

OIPE 1998  
 JUN 20 2007  
 PATENT & TRADEMARKS

## U. S. PATENT DOCUMENTS

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**FOREIGN PATENT DOCUMENTS**

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear
		Country Code <sup>2</sup> Number <sup>4</sup> Kind Code <sup>3</sup> (if known)	MM-DD-YYYY		
/KL/		EP1100638 B1	04-21-2004	BHP Steel JLA	
/KL/		JP 02-179343 A	07-12-1990	NISSHIN STEEL	
/KL/		EP 0732163 A2	09-18-1996	BHP Steel JLA	
/KL/		EP 0670757 B1	08-18-1999	BHP Steel JLA	
/KL/		EP 0874703 A1	11-04-1998	Kaiser Aluminum	
/KL/		EP 0583867 A1	02-23-1994	Kaiser Aluminum	

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Substitute for form 1449/PTO

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Sheet	2	of	3
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		Country Code <sup>2</sup> -Number <sup>1</sup> -Kind Code <sup>3</sup> (If known)	MM-DD-YYYY			
/KL/		EP 0094688 A1	11-23-1983	Japan Casting Forging		

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Signature**

/Kuang Lin/

Date	
Considered	

11/16/2007

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<b>Examiner Name</b>	
<b>Attorney Docket Number</b>	76773 CCD

*(Use as many sheets as necessary)*

Sheet	3	of	3
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1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.88. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449/PTO		<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT SUPPLEMENTAL</b> <i>(Use as many sheets as necessary)</i>		Application Number	10/574,414
		Filing Date	March 31, 2006
		First Named Inventor	BARKER, Simon William
		Art Unit	u/k
		Examiner Name	u/k
Sheet 1 of 2	Attorney Docket Number	76773 CCD	

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
/KL/		us-4,614,224	09/30/1980	JEFFREY, Paul W. et al.	
		us-6,120,621	09/19/2000	JIN, Iljoon et al.	
		us-6,470,959 B1	10/29/2002	DESROSIERS, R. et al.	
		us-4,945,974	08/07/1990	HONEYCUTT III, LeRoy	
		us-4,934,443	06/19/1990	HONEYCUTT III, LeRoy et al.	
		us-4,749,027	06/07/1988	ALLYN, Jerome B. et al.	
		us-4,793,400	12/27/1988	WOOD, Richard A.	
		us-3,345,738	11/10/1964	MIZIKAR, E.A. et al.	
		us-4,250,950	02/17/1981	BUXMANN, Kurt et al.	
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Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
/KL/		WO 05/032744 A1	04/14/2005	GALLERNEAULT, Willard M.T. et al.		

Examiner Signature	/Kuang Lin/	Date Considered	11/16/2007
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Substitute for form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)			<b>Complete if Known</b>		
			Application Number	10/574,414	
			Filing Date	March 31, 2006	
			First Named Inventor	BARKER, Simon William	
			Art Unit	u/k	
			Examiner Name	u/k	
Sheet	2	of	2	Attorney Docket Number	76773 CCD

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
/KL/		FIELD, Michael, KAHLES, John F., and KOSTER, William P., <i>Surface Finish and Surface Integrity</i> , Metals Handbook, Ninth Edition, Volume 16, 1989, published by ASM International, Metals Park, Ohio, pp. 19-23.	
/KL/		SONG, J.F. and VORBURGER, T.V., <i>Surface Texture</i> , ASM Handbook, Volume 18, 1992, published by ASM International, Metals Park, Ohio, pp 334-345.	

Examiner Signature	/Kuang Lin/	Date Considered	11/16/2007
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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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SHEET 1 of 1

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Application Number To Be Assigned **101574414**  
Filing Date Herewith  
First Named Inventor Simon William BARKER  
Art Unit To Be Assigned  
Examiner Name To Be Assigned  
Attorney Docket No. NOVE-110US

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number - Kind Code <sup>2</sup> (if known)	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
/KL/		US-4934443	Jun. 19, 1990	Honeycutt	
		US-4250950	Feb. 17, 1981	Buxman	
		US-5515908	May 14, 1996	Harrington	
		US-6135199	Oct. 24, 2000	Wyatt-Mair	
		US-6063215	May 16, 2000	Harrington	
		US-4061177	Dec. 6, 1977	Silvelotti	
		US-4061178	Dec. 6, 1977	Silvelotti	
/KL/		US-5636681	Jun. 10, 1977	Sulzer	
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Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
/KL/		Fr 1364717	May 19, 1964	France		<input type="checkbox"/>
/KL/		JP 63132751	Jun. 4, 1998	Japan		<input type="checkbox"/>
						<input type="checkbox"/>
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